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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **OHKOSHI, Toshio, et al.**Attention: **Applications Division**Serial Number: **10/539,120**Group Art Unit: **1762**Filed: **June 16, 2005****P.T.O. Confirmation No.: 8989**For: **METHOD OF FORMING COATING FILM ON ALUMINUM SUBSTRATE****REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: **March 2, 2006**

Sir:


Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted errors in that the **assignment for published patent application and the sixth inventor's name are missing and should read as --KANSAI PAINT CO., LTD., Hyogo, JAPAN; TOYOTA JIDOSHA KABUSHIKI KAISHA, Aichi, JAPAN--; and-- MANABU IMOSE, Osaka, JAPAN--**. Copies of the **Assignment and Transmittal Letter** are enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

  
Donald W. Hanson  
Attorney for Applicants  
Reg. No. 27,133

DWH/bjb  
Atty. Docket No. **050391**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930

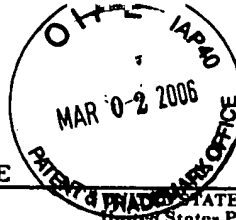
**23850**

PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt; Assignment and Transmittal Letter



## UNITED STATES PATENT AND TRADEMARK OFFICE

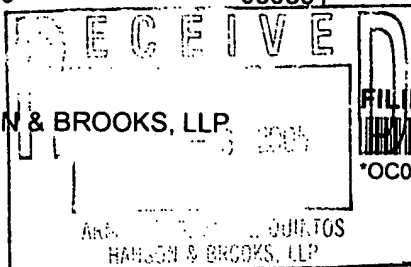


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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/539,120	06/16/2005	1762	900	050391		12	1

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ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP,  
 1725 K STREET, NW  
 SUITE 1000  
 WASHINGTON, DC 20006



CONFIRMATION NO. 8989

FILING RECEIPT



\*OC00000017567423\*

Date Mailed: 12/02/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Toshio Ohkoshi, Aichi, JAPAN;  
 Hiroki Takeda, Aichi, JAPAN;  
 Yoshinori Kato, Aichi, JAPAN;  
 Masahiro Nakamura, Aichi, JAPAN;  
 Masayoshi Harada, Osaka, JAPAN;

Sixth inventor

Manabu Imose, Osaka, JAPAN

## Assignment for Published Patent Application

Kansai Paint Co., LTD., Hyogo, JAPAN

Toyota Jidoshi Kabushiki Kaisha, Aichi, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 23850.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/16302 12/19/2003

## Foreign Applications

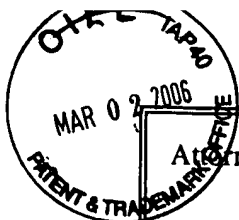
JAPAN 2002-369599 12/20/2002

Projected Publication Date: 03/09/2006

Non-Publication Request: No

Early Publication Request: No

2/7/05

Attorney Docket No. **050391**U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeRECORDATION FORM COVER SHEET  
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Commissioner for Patents: Please record the attached original documents or copy thereof.

Submission type: ☒ **XX** New  
☐ Resubmission (Non-Recordation)  
Document ID #: \_\_\_\_\_  
☐ Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_  
☐ Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Conveyance type: ☒ **XX** Assignment ☐ Security Agreement  
☐ License ☐ Change of name  
☐ Merger ☐ Other \_\_\_\_\_

Conveying Party (ies):	(Last, First, Middle Initial)	Execution Date
First party	Name: <b>OHKOSHI, Toshio</b>	<b>June 3, 2005</b>
Second party	Name: <b>TAKEDA, Hiroki</b>	<b>June 3, 2005</b>
Third party	Name: <b>KATO, Yoshinori</b>	<b>June 3, 2005</b>
Fourth party	Name: <b>NAKAMURA, Masahiro</b>	<b>June 3, 2005</b>
Fifth party	Name: <b>HARADA, Masayoshi</b>	<b>June 3, 2005</b>
Sixth party	Name: <b>IMOSE, Manabu</b>	<b>June 3, 2005</b>

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒ **XX**

## Receiving Party (ies):

First party Name: **KANSAI PAINT CO., LTD.**  
Address: **33-1, Kanzaki-cho, Amagasaki-shi, Hyogo 661-0964, Japan**

Second party Name: **TOYOTA JIDOSHA KABUSHIKI KAISHA**  
Address: **1, Toyota-cho, Toyota-shi, Aichi 471-8571, Japan**

Additional name(s) & address(es) attached? Yes ☐ No ☒ **XX**

## Domestic Representative Name and Address

Armstrong, Kratz, Quintos, Hanson & Brooks, LLP  
Suite 1000, 1725 K Street  
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PATENT TRADEMARK OFFICE

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Correspondent Name and Address

Telephone: 202-659-2930

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1725 K Street, N.W., Suite 1000  
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**Pages** Enter the total number of pages of the attached conveyance document: 1  
(including any attachments, but not counting cover sheets)

**Application Number(s) or Patent Number(s)** Additional numbers attached? Yes ☐ No ☒

A. Patent Application No.(s)

B. Patent No.(s)

If this document is being filed together with a new application, enter the date the application was signed by the first named executing inventor: June 3, 2005

(Do not recite both application and patent numbers.)

**Patent Cooperation Treaty (PCT)**

PCT PCT/JP03/16302

Enter PCT application number only if

PCT                                 

a U.S. Application Number has not been assigned

PCT                                 

**Number of Properties** Enter the total number of properties involved: 1

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41). . . . . \$40.00

☒ Enclosed

☐ Authorized to be charged to Deposit Account No.: 01-2340

**Statement and signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald W. Hanson  
Name of Person Signing

  
Signature

June 16, 2005  
Date

27,133  
Registration No.

# DECLARATION FOR U.S. PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD OF FORMING COATING FILM ON ALUMINUM SUBSTRATE

the specification of which is attached hereto unless the following is checked

☒ was filed on December 19, 2003 as United States Application Number or PCT International

Application Number PCT/JP2003/016302 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) – (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

(List prior foreign applications. See note A)

2002-369599  
(Number)

Japan  
(Country)

20/12/2002  
(Day/Month/Year Filed)

Priority Claimed

☒ Yes ☐ No

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐ Yes ☐ No

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐ Yes ☐ No

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐ Yes ☐ No

(See note B)

☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(List prior U.S. Applications)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

Status

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

23850

PATENT TRADEMARK OFFICE

Please direct all communications to the following address:

23850

PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See note C)

Full name of sole or first inventor (given name, family name)	<u>OHKOSHI Toshio</u>
Inventor's signature <u>Toshio Ohkoshi</u>	Date <u>June 3, 2005</u>
Residence <u>Nishikamo-gun, Aichi 470-0206, Japan</u>	Citizenship <u>Japan</u>
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Full name of second inventor (given name, family name)	<u>TAKEDA Hiroki</u>
Inventor's signature <u>Hiroki Takeda</u>	Date <u>June 3, 2005</u>
Residence <u>Nishikamo-gun, Aichi 470-0206, Japan</u>	Citizenship <u>Japan</u>
Post Office Address <u>c/o KANSAI PAINT CO., LTD., 1, Aza Hirachi, Oaza Azabu, Miyoshi-cho,</u> <u>Nishikamo-gun, Aichi 470-0206, Japan</u>	

Full name of third inventor (given name, family name)	<u>KATO Yoshinori</u>
Inventor's signature <u>Yoshinori Kato</u>	Date <u>June 3, 2005</u>
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Post Office Address <u>c/o KANSAI PAINT CO., LTD., 1, Aza Hirachi, Oaza Azabu, Miyoshi-cho,</u> <u>Nishikamo-gun, Aichi 470-0206, Japan</u>	

Full name of fourth inventor (given name, family name)	<u>NAKAMURA Masahiro</u>
Inventor's signature <u>Masahiro Nakamura</u>	Date <u>June 3, 2005</u>
Residence <u>Toyota-shi, Aichi 471-8571, Japan</u>	Citizenship <u>Japan</u>
Post Office Address <u>c/o TOYOTA JIDOSHA KABUSHIKI KAISHA, 1, Toyota-cho, Toyota-shi,</u> <u>Aichi 471-8571, Japan</u>	

Full name of fifth inventor (given name, family name)	<u>HARADA Masayoshi</u>
Inventor's signature <u>Masayoshi Harada</u>	Date <u>June 3, 2005</u>
Residence <u>Osaka-shi, Osaka 533-0031, Japan</u>	Citizenship <u>Japan</u>
Post Office Address <u>c/o KUBOKO PAINT CO., LTD., 15-27, Nishiawaji 3-chome,</u> <u>Higashiyodogawa-ku, Osaka-shi, Osaka 533-0031, Japan</u>	

Full name of sixth inventor (given name, family name)

Inventor's signature Manabu Imose

IMOSE Manabu

Date June 3, 2005

Residence Osaka-shi, Osaka 533-0031, Japan

Citizenship Japan

Post Office Address c/o KUBOKO PAINT CO., LTD., 15-27, Nishiwaji 3-chome,  
Higashiyodogawa-ku, Osaka-shi, Osaka 533-0031, Japan

Full name of seventh inventor (given name, family name)

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full name of eighth inventor (given name, family name)

Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_